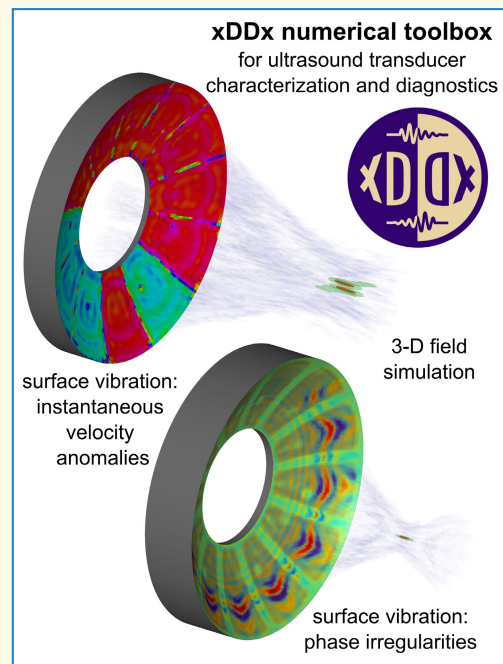


# xDDx: A Numerical Toolbox for Ultrasound Transducer Characterization and Design With Acoustic Holography

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**Abstract**—Transient acoustic holography is a useful technique for characterization of ultrasound transducers. It involves hydrophone measurements of the 2-D distribution of acoustic pressure waveforms in a transverse plane in front of the transducer—a hologram—and subsequent numerical forward projection (FP) or backward projection of the ultrasound field. This approach enables full spatiotemporal reconstruction of the acoustic field, including the vibrational velocity at the transducer surface. This allows identification of transducer defects as well as structural details of the radiated acoustic field such as sidelobes and hot spots. However, numerical projections may be time-consuming ( $10^{10}$ – $10^{11}$  operations with complex exponents). Moreover, backprojection from the measurement plane to the transducer surface is sensitive to misalignment between the axes of the positioning system and the axes associated with the transducer. This article presents an open-access transducer characterization toolbox for use in MATLAB or Octave on Windows computers (<https://github.com/pavrosni/xDDx/releases>). The core algorithm is based on the Rayleigh integral implemented in C++ executables for graphics and central processing units (GPUs and CPUs). The toolbox includes an automated procedure for correcting axes misalignments to optimize the visualization of transducer surface vibrations. Beyond using measured holograms, the toolbox can also simulate the fields radiated by user-defined transducers. Measurements from two focused 1.25-MHz 12-element sector transducers (apertures of 87 mm and focal distances of 65 and 87 mm) were used with the toolbox for demonstration purposes. Simulation speed tests for different computational devices showed a range of 0.2 s–3 min for GPUs and 1.6 s–57 min for CPUs.

**Index Terms**—Acoustic holography, compute unified device architecture (CUDA), graphics processing unit (GPU), high-intensity focused ultrasound (HIFU), open access, simulation, toolbox.



Received 6 January 2025; accepted 8 February 2025. Date of publication 14 February 2025; date of current version 6 May 2025. This work was supported in part by the NIH under Grant R01EB025187, Grant R01AR080120, Grant R01EB031788, and Grant R01EB007643. (Corresponding author: Pavel B. Rosnitskiy.)

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This article has supplementary downloadable material available at <https://doi.org/10.1109/TUFFC.2025.3542405>, provided by the authors. Digital Object Identifier 10.1109/TUFFC.2025.3542405

## I. INTRODUCTION

THE ability to comprehensively and consistently characterize the fields radiated by ultrasound transducers is a critical part of the development and implementation of medical ultrasound technologies. Over many years, standardized measurement techniques have been developed to characterize the 3-D structure of the radiated beam as well as the corresponding acoustic power [1], [2]. Although power measurements can be conducted in different ways, hydrophone measurements are an essential part of field characterization [3]. As described in a recent review article [4], the measurement process typically includes selection of a suitable hydrophone and use of a scanning tank filled with water to perform computer-controlled recording of pressure waveforms in a way that coordinates transducer excitation, waveform digitization, and hydrophone

### Highlights

- An open-access numerical toolbox for postprocessing measured acoustic holograms has been developed to characterize the vibrations of ultrasound sources and simulate 3-D acoustic fields.
- The toolbox includes the algorithms for fast Rayleigh integral simulations on GPUs and CPUs, along with options for automatic correction of the position uncertainties of the transducer.
- Performance of the toolbox was demonstrated using transient holograms measured for two focused 12-element transducers with slight manufacturing defects.

motion in the ultrasound field of interest. The 2-D planar scans with a hydrophone are of particular interest here because of the potential to obtain acoustic holography data from a simple scan [5], [6], [7], [8]. Acoustic holography includes the measurement process and numerical processing steps in which: 1) the planar scan data are processed into a hologram comprising pressure magnitude and phase information at each scan location and 2) the hologram is numerically projected forward or backward to simulate linear acoustic propagation and reconstruct the full 3-D field. The use of such reconstructions to evaluate the field structure is described in an international technical specification for therapeutic ultrasound fields [9]. Such an approach is much more efficient in quantifying the 3-D field in comparison with performing 3-D hydrophone scans. Because therapeutic applications often involve array transducers with multiple focusing configurations and corresponding 3-D fields, the efficiency of holography techniques is particularly valuable [10].

In addition to characterizing the 3-D field radiated into water, acoustic holography can also be used to reconstruct the transducer vibrations to: 1) visualize and quantify any irregularities and 2) define a boundary condition for modeling the field at elevated output levels and/or in media other than water [11], [12], [13]. Knowledge of the vibrational velocity pattern at the transducer surface can reveal manufacturing defects that manifest as amplitude and phase nonuniformity, potentially leading to poor focusing or device failure. Moreover, detailed characterization of the transducer vibrations of multielement arrays can be used to understand and possibly improve array focusing while minimizing grating lobes [14], [15], [16], [17], [18], [19], [20].

Current implementations of acoustic holography begin with a planar hydrophone scan and the recording of pressure waveforms at (typically) tens of thousands of points in a uniformly spaced grid, as illustrated in Fig. 1(a) and (b). This collection of waveforms is then processed into a hologram—a 2-D record of the magnitude and phase of the acoustic pressure field. If the magnitude and phase are only recorded at a single frequency, then the hologram represents a continuous-wave (CW) field. If they are recorded over a range of frequencies, typically corresponding to a short transducer excitation that lasts for no more than a few acoustic cycles, then the hologram represents a transient field. Therefore, transient holograms allow for broadband transducer characterization [21], [22], [23]. For both CW and transient regimes, the full 3-D field represented by the hologram can be numerically reconstructed by forward

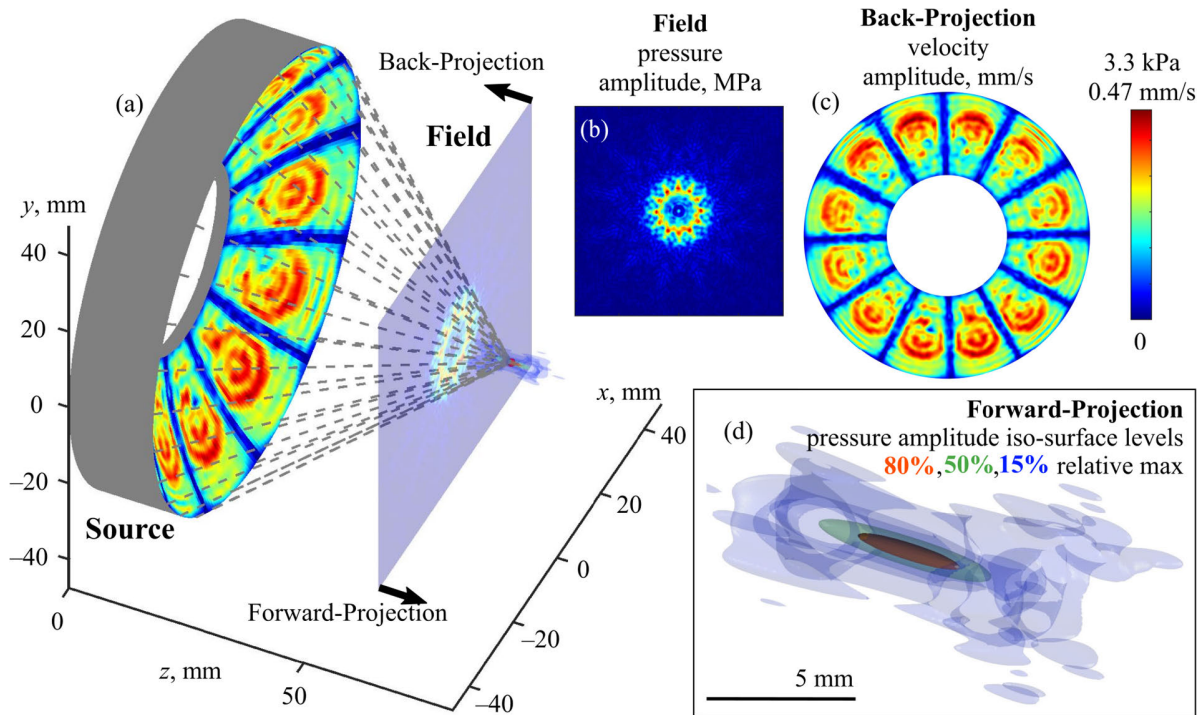
projection (FP) and backward projection (BP) calculations. Useful reconstructions often include locations that represent the transducer surface [see Fig. 1(c)] and regions of interest near the focus [see Fig. 1(d)].

Performing the projection calculations relies on a model-based formulation of lossless, linear wave propagation in water. Common formulations of acoustic holography are based on either an angular spectrum solution or the Rayleigh integral, as discussed in more detail by Sapozhnikov et al. [8]. For medical ultrasound transducers that are often focused, the Rayleigh integral formulation is appealing because it is readily applicable to reconstructions on curved surfaces and it permits point-by-point corrections related to changing conditions during planar scan measurements (i.e., changes in water temperature). Under these practical conditions, accurate field reconstructions have been shown to be feasible [8], [13], [15], [18], [19], [20], [22], [24], [25], [26], [27].

Although transient holography is broadly useful as the most general approach for characterizing transducers, a key challenge in its implementation is that a large number of computational operations with complex numbers are required. For example, consider a typical hologram with 150 spectral components across a spatial grid of  $180 \times 180$  vertices. Numerical projection to another target grid with the same  $180 \times 180$  number of vertices, but not necessarily the same spatial grid step, requires calculating a propagation distance  $R$  for each pair of points of the initial  $180^2$ -point grid and the second  $180^2$ -point grid. This results in  $180^4$  operations. Given that the Rayleigh integral requires the calculation of complex exponents of the type  $\exp(\pm ikR)$  for each of the 150 frequency components with a wavenumber  $k$ , the total number of operations is  $180^4 \cdot 150 = 1.6 \cdot 10^{11}$ .

This makes postprocessing time-consuming and points to a practical need for fast methods of transient holography projection [21], [26]. Although computational complexity could be reduced by using an angular spectrum formulation rather than the Rayleigh integral, the geometric flexibility noted above for the Rayleigh integral remains compelling to facilitate reconstructions of transducer surface vibrations. Another advantage of the Rayleigh integral over the angular spectrum method is that it does not suffer from spatial aliasing errors, as it is not based on spectral transforms.

Another practical challenge for holographic transducer characterization pertains to alignment. For planar scan measurements, the transducer is often (and most conveniently)



**Fig. 1.** Example of a typical implementation of acoustic holography for a 1.25-MHz transducer with nominal aperture 87 mm and focal distance 65 mm. (a) Three-dimensional illustration of the geometry of the planar scan and FP and backprojection (BP), (b) pressure amplitude in the scan plane, (c) normal velocity distribution on the surface of the transducer obtained by BP, and (d) 3-D field in the focal region obtained by FP.

held in the water tank with manually oriented fixturing. Consequently, the transducer alignment relative to the axes of the positioning system and the scan plane is known only approximately. Potential misalignment between the transducer's acoustical coordinates and the positioner's mechanical coordinates is depicted in Fig. 2(a). Given a typical rotational error of  $1^\circ$  ( $0.018$  rad) for a visually aligned transducer and a hologram axial distance from the source of  $50\lambda$ , the linear displacement error after backprojection would be about  $0.018 \cdot 50\lambda \approx \lambda$ , thus resulting in noticeable phase errors at the surface of the reconstructed source [28]. Because such an angular misalignment is nontrivial, complete implementations of holography should include alignment correction when transducer surface vibrations are reconstructed for quantitative evaluations. Alignment correction can be performed from the recorded hologram because it does indeed contain information about the entire 3-D field. Starting with a hologram from a planar scan in mechanical coordinates, the relative orientation of the acoustic axis of a focused source can typically be determined by projecting the field to multiple transverse planes near the focus, finding the location of the pressure maximum in each plane, and drawing a line through these maxima [18], [28]. Although such angular corrections for locating the transducer surface are possible, they can add nontrivial computational expense to implementations of transient holography.

The aim of this article is to present an approach and corresponding numerical toolbox to facilitate the practical implementation of transient holography for characterizing ultrasound fields and the corresponding transducer vibrations. Key capabilities are fast postprocessing of recorded planar

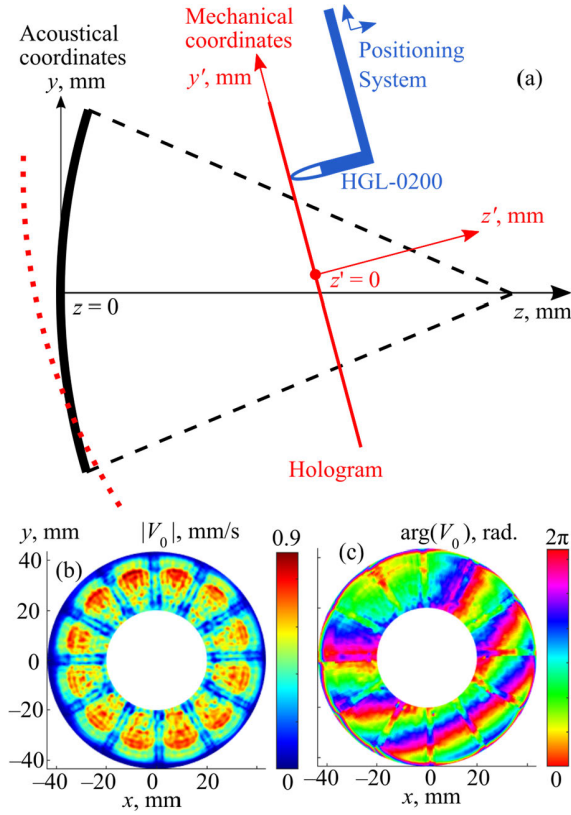
hydrophone scan data to define transient holograms, rapid backprojection onto the transducer surface, and rapid 3-D field simulation. The toolbox also includes a new algorithm for automatic alignment corrections for strongly focused transducers. The open-access toolbox is designed to function as a part of MATLAB (MathWorks, Inc., Natick, MA, USA) or Octave (free software <http://www.octave.org>) computing environments on personal computers (PCs) running a Windows operating system (Microsoft Inc., Redmond, WA, USA). This software is named the xDDx toolbox and is available from the link <https://github.com/pavrosni/xDDx/releases>. In the name, “xD” is a shorthand for “transducer” and “Dx” is a familiar shorthand reference for “diagnostics.” The xDDx algorithms were developed in two versions: for compute unified device architecture (CUDA-compatible graphics processing units—GPUs) and for central processing units (CPUs). The algorithms were specifically optimized for speed and can be used both for postprocessing of planar scan data into holograms and for field projection calculations. In addition to details about the toolbox itself, this article also presents a demonstration of xDDx toolbox capabilities for two examples of strongly focused high-intensity focused ultrasound (HIFU) transducers.

## II. MATERIALS AND METHODS

### A. Transient Hologram and Its Fourier Decomposition

A transient hologram is a record of the transverse distribution of the temporal profile of acoustic pressure on a certain extended section of the surface through which the radiated wave beam passes [see Fig. 1(a)]. In practice, a hologram is usually recorded on a flat, rectangular (usually square) surface





**Fig. 2.** Impact of angular misalignment between the positioning system and the transducer. (a) Geometry of mechanical and acoustical coordinates. (b) and (c) Sample backprojection results for transducer surface velocity magnitude and phase without correction for misalignment. Results come from the transducer mentioned in Fig. 1 at the nominal frequency of  $f_0 = 1.25$  MHz. Despite the presence of multiple phase cycles in the reconstruction, the excitation phase was uniform.

using planar scanning of the field by a hydrophone, which is moved by an automated positioning system. The acoustic source is repeatedly excited by identical short pulses, and the hydrophone moves and records the signal sequentially at each scan point with a step size between points of less than a half wavelength at the center frequency of the excitation.

The recorded pressure pulses at each point of the hologram can be represented as a 3-D matrix  $p_H(x'_l, y'_m, t_s)$ . Here,  $(x'_l, y'_m)$  are the coordinates of the points on the hologram grid, defined in such a way that the origin of the coordinate system is located at the center of the hologram:  $x'_l = [l - (L + 1)/2] \cdot \Delta x_H$  and  $y'_m = [m - (M + 1)/2] \cdot \Delta y_H$ , where  $l = 1, \dots, L$  and  $m = 1, \dots, M$  (the numbers  $L$  and  $M$  are assumed to be odd), and  $t_s = s \cdot \Delta t$  is the time sample of the signal recorded by the digitizer with a time step  $\Delta t$ ,  $s = 0, \dots, N$ , where  $N$  is the number of time points in the recorded waveform. Typically, the numbers  $L$  and  $M$  are of the order of a hundred or several hundreds, and  $N$  is of the order of several thousand.

The spectral decomposition of the pressure matrix  $p_H(x'_l, y'_m, t_s)$  can be represented as a sum of harmonic waves at different frequencies  $f_n$  using the inverse discrete Fourier transform (IDFT) [8], [29]

$$p_s(x'_l, y'_m) = \frac{1}{N} \sum_{n=0}^{N-1} \hat{p}_n(x'_l, y'_m) e^{2\pi i f_n t_s} \quad (1)$$

where  $p_s(x'_l, y'_m) = p_H(x'_l, y'_m, t_s)$  represents the discretized version of the pressure temporal waveform,  $i$  is the imaginary unit,  $\hat{p}_n$  is the complex amplitude of a spectral component of the signal at the frequency  $f_n = n \cdot \Delta f$  ("single-frequency" component), and  $\Delta f = (N \cdot \Delta t)^{-1}$  is the frequency step. Here, we assume the  $e^{+2\pi i f t}$  convention for the complex representation of waves traveling forward. The complex amplitudes  $\hat{p}_n$  can be expressed utilizing the direct discrete Fourier transform (DFT)

$$\hat{p}_n(x'_l, y'_m) = \sum_{s=0}^{N-1} p_s(x'_l, y'_m) e^{-2\pi i f_n t_s}. \quad (2)$$

This article uses a less common single-sided form of the IDFT (1), which is more intuitive for applications in acoustics

$$p_H(x'_l, y'_m, t_s) \approx \sum_{n=0}^{N_{\max}} |P_n| \cos(2\pi f_n t_s + \arg(P_n)) \quad (3)$$

where  $P_n(x'_l, y'_m)$  is a single-sided complex amplitude at the frequency  $f_n$ ,  $|P_n|$  is its magnitude,  $\arg(P_n)$  is its phase, and  $N_{\max} < N/2$  is the frequency sample number for the highest significant spectral component. The conversion between the conventional (1) and the single-sided (3) forms is well known and is described in the xDDx documentation [30].

In the case of the hologram signals, we denote the single-sided spectrum  $P_n$  as  $H_n$  to emphasize that we are describing the hologram in the scan plane:  $P_n = H_n$ .

Thus, different single-frequency components  $|H_n| \cos(2\pi f_n t_s + \arg(H_n))$  can be projected either forward or backward using the Rayleigh integral simulator implemented in the toolbox. For linear acoustic propagation, single-frequency components  $H_n$  can be projected independently; then, the time-domain pressure signal at each reconstruction point is recovered through application of the IDFT in [21, eq. (3)].

## B. Rayleigh Integral Simulation Algorithm

The algorithms presented here are based on numerical calculation of the Rayleigh integral. To be more general, in this section, we consider a hologram defined in a coordinate system with the origin not necessarily lying in the plane of the experimentally recorded hologram. Let the hologram be defined in the coordinate system  $(x, y, z)$  and located in the plane  $z = z_H$ , with the pressure specified at the following grid points:  $\mathbf{r}_{lm} = (x_l, y_m, z_H)$ ,  $x_l = [l - (L + 1)/2] \cdot \Delta x_H$ , and  $y_m = [m - (M + 1)/2] \cdot \Delta y_H$ , where  $\mathbf{r}_{lm}$  is the position vector for a given point in the hologram,  $l = 1, \dots, L$  and  $m = 1, \dots, M$ . A detailed explanation of the corresponding equations for different projection cases is provided elsewhere [8]. Here, we present only specific examples of FP and backward projection equations.

The first example shows the case of FP (away from the transducer) of the single-frequency complex pressure amplitude  $H_n(x_l, y_m)$  as a summation over all points in the hologram to obtain the 3-D complex pressure amplitude distribution  $P_n$

$$P_n(\mathbf{r}) = \Delta S \sum_{l=1}^L \sum_{m=1}^M H_n(x_l, y_m) K_{pp}^{\text{fwd}}(x_l, y_m; \mathbf{r}) \quad (4)$$

where  $\mathbf{r} = (x, y, z)$  is the position vector for a given field reconstruction point,  $\Delta S = \Delta x_H \Delta y_H$  is the elemental surface area corresponding to each discretized hologram point, and summations over subscripts  $l$  and  $m$  represent the numerical implementation of the surface integral. The FP (“fwd”) kernel with pressure input and pressure output (“pp”) can be expressed as follows:

$$K_{pp}^{\text{fwd}}(x_l, y_m; \mathbf{r}) = \frac{(z - z_H) \cdot \left( \frac{ik_n}{R_{lm}} + \frac{1}{R_{lm}^2} \right)}{2\pi R_{lm}} \cdot e^{-ik_n R_{lm}} \quad (5)$$

where  $k_n = 2\pi f_n / c_0$  is the wavenumber,  $c_0$  is the sound speed in water, and  $R_{lm} = |\mathbf{r}_{lm} - \mathbf{r}|$ .

Note that to match the choice of sign of the exponent in MATLAB/Octave when computing the FFT,  $K_{pp}^{\text{fwd}}$  in (5) is the complex conjugate of  $K_{pp}^{\text{fwd}}$  presented in [8], since here in (1), we adopted the convention  $e^{+2\pi i f t}$  to represent the forward-propagating wave, whereas in [8],  $e^{-2\pi i f t}$  is assumed instead. The same change is made for  $K_{pv}^{\text{bwd}}$  below.

The second example demonstrates the backprojection of the same scan-plane hologram  $H_n(x_l, y_m)$  onto a spherical transducer surface with a radius of curvature  $F$ . Considering the geometry in Fig. 1(a) with the transducer apex at the origin, the transducer surface is defined as the set of points that satisfy the equation  $z = F - (F^2 - x^2 - y^2)^{1/2}$ . Then, the complex amplitude of the normal velocity  $V_n$  on the transducer surface is obtained from

$$V_n(\mathbf{r}) = \Delta S \sum_{l=1}^L \sum_{m=1}^M H_n(x_l, y_m) K_{pv}^{\text{bwd}}(x_l, y_m; \mathbf{r}). \quad (6)$$

In this case,  $\mathbf{r}$  is a position vector that defines a point on the transducer surface, and the backprojection (“bwd”) kernel with pressure input and velocity output (“pv”) is [8]

$$K_{pv}^{\text{bwd}}(x_l, y_m; \mathbf{r}) = e^{ik_n R_{lm}} \times \frac{A \left( \frac{-ik_n}{R_{lm}} + \frac{1}{R_{lm}^2} \right) + B \left( \frac{-3ik_n}{R_{lm}} + \frac{3}{R_{lm}^2} - k_n^2 \right)}{-2\pi i k_n \rho_0 c_0 R_{lm}} \quad (7)$$

where  $A = (\mathbf{n}_1 \cdot \mathbf{n}_2)$ ,  $B = -(\mathbf{d}_{lm} \cdot \mathbf{n}_1)(\mathbf{d}_{lm} \cdot \mathbf{n}_2)$ ,  $\mathbf{d}_{lm} = (\mathbf{r}_{lm} - \mathbf{r})/R_{lm}$ ,  $\mathbf{n}_1 = -(x, y, z - F)/F$ ,  $\mathbf{n}_2 = (0, 0, -1)$ ,  $\rho_0$  is the water density,  $k_n = 2\pi f_n / c_0$  is the wavenumber, and  $c_0$  is the sound speed in water.

For both FP and backprojection cases described by (4) and (6), the resulting projection  $Q_n(\mathbf{r})$  ( $Q$  being either  $P$  or  $V$ ) is calculated as a sum of the elementwise product of the hologram matrix and the kernel matrix

$$Q_n(\mathbf{r}) = \sum_{l=1}^L \sum_{m=1}^M H_n(x_l, y_m) K(x_l, y_m; \mathbf{r}) \quad (8)$$

where  $K = \Delta S \cdot K_{pp}^{\text{bwd}}$  or  $K = \Delta S \cdot K_{pv}^{\text{bwd}}$ . Even though kernel  $K(x_l, y_m; \mathbf{r})$  matrices differ for different projection cases (forward/backward), different outputs (pressure/velocity), and different surface shapes (flat/spherical), the general structure of the calculation expression (8) remains the same.

In the xDDx numerical toolbox, computational algorithms for (8) are implemented in C++, with separate versions

for execution on GPUs and CPUs. The first version for CUDA-compatible GPUs was designed to be efficient for large numbers of GPU threads that significantly exceed those available for CPUs. To take advantage of this, the output points  $\mathbf{r}$  and frequency samples  $n$  were redistributed among GPU threads and the corresponding  $(l, m)$  sums were independently calculated.

The same computational algorithm could be applied to the CPU version, which, however, would have significantly lower computational speed due to the availability of fewer cores. To improve the performance for CPU users, the sum of the elementwise product by indexes  $(l, m)$  was performed using an open-source C++ library “Eigen.” It implements specific loop unrolling and vectorization algorithms to improve the performance of matrix operations, such as (8) on CPUs [31].

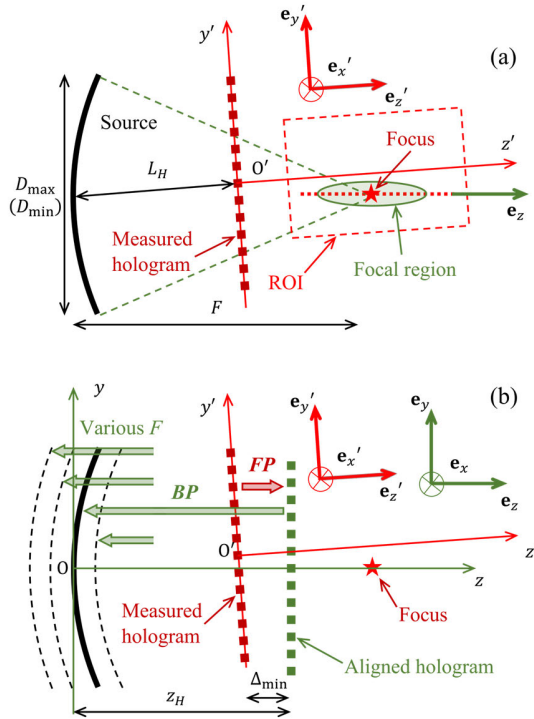
The analysis of the transient case, due to the linearity of the process, is reduced to the analysis of a set of CW processes corresponding to the spectral components. For a signal with time discretized into  $N$  time points, the number of spectral components in the single-sided discrete Fourier transform is approximately equal to  $N/2$  and is therefore quite large (several thousand). However, in practice, the spectrum of an ultrasonic pulse is limited by the transducer frequency band and, therefore, does not contain significant high- and low-frequency components. This simplifies the signal analysis allowing the neglect of high- and low-frequency harmonics whose acoustic power falls below a certain threshold—i.e., by applying bandpass digital filtering. In this case, a set of harmonic components  $H_n(\mathbf{r})$ ,  $n = N_{\min}, \dots, N_{\max}$ , with a frequency step of  $\Delta f$  is used as input data for modeling, resulting in an output set of pressure or velocity  $Q_n(\mathbf{r})$ ,  $n = N_{\min}, \dots, N_{\max}$ . To reconstruct the transient output pulses  $q(\mathbf{r}, t_s)$  ( $q$  is either pressure or velocity), the IDFT (3) was used for the Rayleigh integral projection results  $Q_n(\mathbf{r})$  for each single-frequency component (8)

$$q(\mathbf{r}, t_s) = \sum_{n=N_{\min}}^{N_{\max}} |Q_n| \cos(2\pi f_n t_s + \arg(Q_n)). \quad (9)$$

In the toolbox, bandpass filtering is applied using the condition that the total power of the hologram spectrum at the maximum frequency is less than 1% of that at the central frequency  $f_0$ . Spectral amplitudes  $V_n$  outside the frequency range,  $N_{\min} < n < N_{\max}$ , are considered minor and set to zero. The angular spectrum-based method for power calculation in a single-frequency planar scan, described in detail elsewhere [32], was used. The toolbox includes an option to automatically determine the  $N_{\min}$  and  $N_{\max}$  values based on the 1% threshold. Alternatively, there is a tool to manually adjust these values, depending on precision requirements.

### C. Automatic Alignment of Field Backprojection to the Surface of a Strongly Focused Transducer

The relative orientation of planar scan and the transducer radiating surface is usually known only approximately. The distance  $L_H$  between the transducer surface and the planar scan area (hologram plane) can be calculated with reasonable accuracy based on the time delay of the hydrophone signal.



**Fig. 3.** Schematics illustrating the automatic alignment algorithm. (a) Initial steps involve using the measured hologram to calculate the 3-D acoustic pressure distribution in the ROI and using these data to find the focal point and the axis of symmetry of the transducer (acoustical axis). (b) Subsequent steps involve FP of the measured hologram onto an auxiliary hologram centered on and oriented perpendicular to the acoustic axis and then BP of the field from this new hologram onto a series of concentric segments of spherical surfaces with the center of curvature at the focal point, to find the normal velocity distribution on the radiating surface.

Less certain is the angular alignment of the planar scan (and its corresponding hologram) relative to the ultrasound beam axis (which is usually related to the transducer geometry). This type of misalignment between the  $z'$ -axis of the positioning system (mechanical coordinates) and the  $z$ -axis of the transducer (acoustical coordinates) is illustrated in Fig. 2(a). A simple backprojection of the hologram measured in the mechanical coordinates leads to field reconstruction at an incorrect surface [dotted line in Fig. 2(a)].

The methods for fast calculation of the Rayleigh integral described in Section II-B provide a practical solution to the alignment problem. The solution is based on the idea that the acoustic beam axis can be identified by locating the symmetrical regions of its 3-D pressure amplitude field. For a focused ultrasound source with a diameter much larger than a wavelength and a focal distance comparable to the diameter, such a region exists—it is the focal region, where wave energy is localized within a quasi-ellipsoidal volume [see Fig. 3(a)]. For such an ellipsoid, the axis of symmetry can be considered as the acoustic axis of the transducer. Thus, by reconstructing the field inside the high-amplitude ellipsoid (from the measured hologram), one can uniquely determine the acoustic axis and the location of the focal point. Knowing the acoustic axis, the measured hologram can be projected onto a plane that is perpendicular to and centered on the acoustic

axis. Using this new, “aligned hologram” [see Fig. 3(b)], it is then possible to use backprojection to accurately reconstruct the vibration velocity patterns on the transducer surface.

The toolbox implements the above algorithm, which is described in more detail next. Since the transducer axis and its position are the same for all frequencies, it is sufficient to use one of the single-frequency holograms. It is convenient to use the transducer’s central frequency  $f_0$  for this purpose to optimize the signal-to-noise ratio. In this approach, a single-frequency hologram  $H_{n_0}$  (where  $f_0 = n_0 \Delta f$ ) is considered as input data.

It is assumed that the transducer has a spherical shape with nominal values for the focal distance  $F$  (radius of curvature) as well as the aperture dimensions  $D_{\min}$  and  $D_{\max}$ , which are the minimum and maximum dimensions of the transducer (in the case of a circular transducer, they are equal). In addition, the distance  $L_H$  from the center of the transducer to the center of the measured hologram [see Fig. 3(a)] is assumed to be approximately known based on the delay  $\tau_{\text{delay}}$  of the pulse signal when the hydrophone is positioned in the hologram center:  $L_H = c_0 \tau_{\text{delay}}$ . The parameters  $F$ ,  $D_{\min}$ ,  $D_{\max}$ , and  $L_H$  are specified as input data in the toolbox.

$D_{\max}$  is necessary for the proper selection of the diameter of the area on the intended transducer surface where the hologram will be backprojected. The nominal lengths  $F$ ,  $D_{\min}$ , and  $L_H$  are needed to estimate the position and extent of the focal region. Such an estimate can be made based on the well-known idealized model of a uniformly vibrating focused source shaped as a spherical bowl [33]. In this model, the point of maximum pressure is located with high accuracy at the center of curvature of the radiating surface, at a distance equal to its radius of curvature  $F$ . The axial distance between the focus and the nearest prefocal and postfocal nulls is  $\Delta z \approx \lambda_0 / (1 - \cos \theta)$ , where  $\lambda_0 = c_0 / f_0$  is the wavelength,  $\theta$  is the focusing half-angle,  $\sin \theta = D / (2F)$ , and  $D$  is the source diameter. In the transverse direction, the pressure nulls are located at a distance of  $\Delta r \approx 1.22 \lambda_0 F / D$  from the axis. If the source is not round but is characterized by two different diameters,  $D_{\min}$  and  $D_{\max}$ , the expressions for  $\Delta z$  and  $\Delta r$  can be used with  $D = D_{\min}$ .

The automatic alignment is included in the toolbox in the “examples” folder. The algorithm can be broken into several steps.

- 1) The estimates of the coordinates of the pressure nulls near the focus are calculated as  $x_1 = y_1 = -\Delta r$ ,  $x_2 = y_2 = \Delta r$ ,  $z_1 = F - L_H - \Delta z$ , and  $z_2 = F - L_H + \Delta z$ , where  $\Delta z$  and  $\Delta r$  are expressed through  $\lambda_0$ ,  $F$ , and  $D_{\min}$ . Assuming that the mechanical and acoustic axes are close to each other, a cuboid region of interest (ROI) in mechanical coordinates is considered:  $x_1 - \epsilon_{\text{pos}} < x' < x_2 + \epsilon_{\text{pos}}$ ,  $y_1 - \epsilon_{\text{pos}} < y' < y_2 + \epsilon_{\text{pos}}$ , and  $z_1 - \epsilon_{\text{pos}} < z' < z_2 + \epsilon_{\text{pos}}$ . Here,  $\epsilon_{\text{pos}}$  is a manually set number representing the expected error in determining the position of the source hologram center relative to the acoustical axis. The recommended default value is  $\epsilon_{\text{pos}} = 10 \lambda_0$ , which implies significant misalignment. Thus, it is assumed that the selected region of interest



covers the entire focal region in mechanical coordinates [see Fig. 3(a)].

- 2) The next step is to quickly estimate the boundary of the ellipsoidal-shaped high-amplitude region within the initially selected ROI. For this, the pressure is calculated using a coarse grid within the ROI. The simulation is performed in the mechanical coordinates  $x'$ ,  $y'$ , and  $z'$  with the origin  $O'$  at the center of the measured hologram [see Fig. 3(a)]. These simulations involve an FP from the hologram  $H_{n_0}(x'_l, y'_k)$ , measured within the plane  $z' = 0$  and the output is the 3-D pressure amplitude field at the vertices of the coarse simulation grid inside the ROI with steps of  $\Delta r_C$  and  $\Delta z_C$  in the lateral and axial directions. These grid steps are set in terms of the number of points per wavelength  $PPW = \lambda_0/\text{"step,"}$  with the default recommended values being 3 PPW in the lateral directions and 2 PPW in the axial direction. The resulting 3-D field is analyzed to extract an iso-surface of points with equal pressure values  $p_{\text{level}}$  normalized by the maximum pressure amplitude. In the toolbox, the default level  $p_{\text{level}} = 0.6$  is used.
- 3) After estimating the iso-surface of the high-amplitude volume, the pressure field is calculated again with better spatial resolution. Since this ellipsoidal-shaped region is smaller than the original ROI, finer grid steps can be used to find the wave amplitude distribution in it. The default parameters are 10 PPW in the axial direction and 20 PPW in the radial direction. Note that a larger number of points in the radial direction is required because the effect of the transducer's rotation on the high-amplitude ellipsoid position is more noticeable in that direction. The green solid contour in Fig. 3(a) shows the fine-grid iso-surface for the high-amplitude region.
- 4) For transverse cross sections at each axial position within the ellipsoidal-shaped region, the coordinates of the pressure maxima are found. The ordinary least-squares method is then used to plot a straight fitting line using these points [see Fig. 3(a), red dotted line] [34]. This line represents the acoustical  $z$ -axis of the transducer, and its direction unit vector in the mechanical coordinates is denoted as  $\mathbf{e}_z$ . The point  $\mathbf{r}'_{\text{max}} = (x'_{\text{max}}, y'_{\text{max}}, z'_{\text{max}})$  of maximum acoustic pressure amplitude within the high-amplitude volume is denoted by a star in Fig. 3, so the distance between this point and the radiating surface can be found even without using the hydrophone-measured time delay, assuming it to be equal to the nominal focal distance  $F$  (in the case of curved radiators, the radius of curvature): hence,  $\mathbf{r}'_0 = \mathbf{r}'_{\text{max}} - F \cdot \mathbf{e}_z$ .
- 5) After the acoustic  $z$ -axis unit vector  $\mathbf{e}_z$  is specified, the directions of the  $x$ - and  $y$ -axes—the acoustic coordinates—are determined. It is convenient to determine  $\mathbf{e}_x$  and  $\mathbf{e}_y$  using the following expressions:  $\mathbf{e}_x = \mathbf{e}'_y \times \mathbf{e}_z / |\mathbf{e}'_y \times \mathbf{e}_z|$  and  $\mathbf{e}_y = \mathbf{e}_z \times \mathbf{e}_x$ , where  $\times$  and  $|\cdot|$  denote the vector product and the absolute value of a vector, respectively, and  $\mathbf{e}'_x = (1, 0, 0)$ ,  $\mathbf{e}'_y = (0, 1, 0)$ , and  $\mathbf{e}'_z = (0, 0, 1)$  are the unit vectors of the

mechanical coordinate system (presented in the same system).

- 6) After determining the acoustic coordinate system, the measured hologram is transferred to an auxiliary hologram specified on a flat section of the same size in the transverse plane  $(x, y)$  of the acoustic coordinate system. The region of the new hologram is chosen close to that of the original hologram so that the distance between the closest points of the two holograms is several wavelengths. The rationale for this choice is as follows. As shown in prior work [8], the calculation of the Rayleigh integral based on the discretized pressure differs from the exact value only within a distance of the order of a wavelength from the integration surface. This is because the difference between the exact and the numerical results is the form of evanescent waves that quickly fade with distance from the surface. By default, the minimum distance  $\Delta_{\text{min}}$  between the two holograms is chosen equal to four wavelengths:  $\Delta_{\text{min}} = 4\lambda_0$ . To define the plane of a new hologram, first consider the position vector of a point on the original hologram region:  $\mathbf{r}' = x'\mathbf{e}'_x + y'\mathbf{e}'_y$ . Denote a position vector of a point with the largest value of acoustical coordinate  $z$  as  $\mathbf{r}'_* = x'_*\mathbf{e}'_x + y'_*\mathbf{e}'_y$ . The position vector of the same point in the acoustic coordinate system is  $\mathbf{r} = \mathbf{r}' - \mathbf{r}'_0 = F\mathbf{e}_z + \mathbf{r}'_* - \mathbf{r}'_{\text{max}}$ . The acoustic  $z$ -coordinate of the point is then  $z_* = \mathbf{r} \cdot \mathbf{e}_z = F + (x'_* - x'_{\text{max}})(\mathbf{e}'_x \cdot \mathbf{e}_z) + (y'_* - y'_{\text{max}})(\mathbf{e}'_y \cdot \mathbf{e}_z) - z'_{\text{max}}(\mathbf{e}'_z \cdot \mathbf{e}_z)$ . The aligned hologram acoustic  $z$ -coordinate is chosen as  $z_H = z_* + \Delta_{\text{min}}$ . Thus, the aligned hologram is located prefocally at a distance from the focus equal to  $\Delta_H = F - z_H = (x'_{\text{max}} - x'_*)(\mathbf{e}'_x \cdot \mathbf{e}_z) + (y'_{\text{max}} - y'_*)(\mathbf{e}'_y \cdot \mathbf{e}_z) + z'_{\text{max}}(\mathbf{e}'_z \cdot \mathbf{e}_z) - \Delta_{\text{min}}$ . To perform FP from the original hologram  $H_{n_0}$  to the aligned one  $H_{n_0}^A$ , it is necessary to know the mechanical coordinates  $\mathbf{r}'_{qs} = (x'_{qs}, y'_{qs}, z'_{qs})$  of the grid points of the new hologram. The radius vectors of these points in acoustic coordinates are  $\mathbf{r}_{qs} = x_q\mathbf{e}_x + y_s\mathbf{e}_y + z_H\mathbf{e}_z$ ,  $x_q = [q - (L + 1)/2]\Delta x_H$ , and  $y_s = [s - (M + 1)/2]\Delta y_H$  with  $q = 1, \dots, L$  and  $s = 1, \dots, M$ . If  $\mathbf{r}'_{qs}$  is the position vector measured from the origin of the mechanical coordinate system, then  $\mathbf{r}'_{qs} = \mathbf{r}'_0 + \mathbf{r}_{qs} = \mathbf{r}'_{\text{max}} - F \cdot \mathbf{e}_z + \mathbf{r}_{qs}$ . In the mechanical coordinate system  $\mathbf{r}'_{qs} = x'_{qs}\mathbf{e}'_x + y'_{qs}\mathbf{e}'_y + z'_{qs}\mathbf{e}'_z$ , thus  $x'_{qs} = x'_{\text{max}} - \Delta_H(\mathbf{e}_z \cdot \mathbf{e}'_x) + x_q(\mathbf{e}_x \cdot \mathbf{e}'_x) + y_s(\mathbf{e}_y \cdot \mathbf{e}'_x)$ ,  $y'_{qs} = y'_{\text{max}} - \Delta_H(\mathbf{e}_z \cdot \mathbf{e}'_y) + x_q(\mathbf{e}_x \cdot \mathbf{e}'_y) + y_s(\mathbf{e}_y \cdot \mathbf{e}'_y)$ , and  $z'_{qs} = z'_{\text{max}} - \Delta_H(\mathbf{e}_z \cdot \mathbf{e}'_z) + x_q(\mathbf{e}_x \cdot \mathbf{e}'_z) + y_s(\mathbf{e}_y \cdot \mathbf{e}'_z)$ , where  $\Delta_H = F - z_H$ . Once the location of the aligned hologram is found, this new hologram  $H_{n_0}^A$  is calculated from the original hologram  $H_{n_0}$  using FP from pressure to pressure.
- 7) In the last step, the aligned hologram is backprojected onto the transducer surface [see Fig. 3(b), green **BP** arrows] to calculate the complex amplitude  $V_{n_0}(\mathbf{r})$  of the normal component of the vibrational velocity. Unlike the previous steps, this backprojection is performed using acoustic coordinates based on the pressure complex amplitude  $H_{n_0}^A$  at the grid points  $\mathbf{r}_{qs} = (x_q, y_s, z_H)$ .

The GUI of the script allows changing the nominal radius of curvature  $F$  and repeating the backprojection to find the effective value  $F_{\text{eff}}$ , which represents the true transducer geometry [see Fig. 3(b), dashed arcs]. The position of the radiating surface can be corrected by repeating the backprojection for different  $F$  values to find the position at which the details of the vibrating surface are most clearly defined. The backprojected vibrational velocity distribution  $V_{n_0}(\mathbf{r})$  and the alignment parameters can be saved for further simulations.

Note that the presented steps of the algorithm are performed completely automatically without any intermediate actions required from the user.

In addition to the automatic hologram repositioning for a strongly focused transducer in CW (i.e., single frequency) mode, the toolbox also includes an automatic alignment script for a more general transient situation. Since the alignment parameters are the same for all harmonic components, the transient alignment script uses the predefined alignment parameters saved from the single-frequency alignment script. Therefore, when analyzing a transient hologram, steps 1–6 described earlier in this section are the same—the acoustic coordinate system is adjusted using the CW hologram at the center frequency. As for steps 7 and 8, they are performed by the same FP and backward projection procedures, but in the transient regime. The results are presented both in the frequency domain as a set of complex amplitudes  $V_n, n = N_{\min}, \dots, N_{\max}$ , and in the time domain  $v(\mathbf{r}, t_s), s = 1, \dots, N - 1$  using the IDFT given by (9).

#### D. Manual Alignment of Field Backprojection to the Transducer Surface

The automated alignment algorithm described in Section II-C utilized the characteristics of fields radiated by strongly focused transducers to infer the position and orientation of the transducer from the structure of the acoustic field. This approach succeeds for two reasons. First, the acoustic field unambiguously specifies the position of the surface curvature center as the point of maximum wave amplitude. Second, the acoustic axis is readily identified as the symmetry axis of the focal region. However, in many cases without strong focusing, such automation is challenging. For instance, when the diameter of the focused source is not larger than the wavelength, the point of maximum amplitude shifts noticeably from the center of curvature toward the transducer. Therefore, determining the position of the radiating surface requires selecting not only the radius of curvature but also the position of the center of curvature, which complicates the process. Another example is flat sources. Although they do not have a focal point, there are diffraction maxima in the near field, which can be used to identify the acoustical  $z$ -axis.

Finding the acoustic axis and the transducer surface is additionally complicated if the source is not axisymmetric—e.g., when part of its surface is damaged and does not radiate. In these cases, the acoustic axis and the relative position of the transducer can still be determined interactively based on a visual analysis of the 3-D field structure and setting the

direction of the acoustic axis  $\mathbf{e}_z$  by selecting two points with mechanical coordinates  $\mathbf{r}'_1 = (x'_1, y'_1, z'_1)$  and  $\mathbf{r}'_2 = (x'_2, y'_2, z'_2)$ ,  $z'_2 > z'_1$ , as  $\mathbf{e}_z = (\mathbf{r}'_2 - \mathbf{r}'_1)/|\mathbf{r}'_2 - \mathbf{r}'_1|$ . For example, these points can be points on the field symmetry axis or on the projection of the field onto the nominal surface of the source and the point of maximum or minimum of the field at some distance from the transducer. The distance from the selected point to the source can be calculated based on the delay in the arrival of the pulse signal at the reference point. The toolbox provides options to assist in the manual determination of transducer alignment along with implementation of this alignment for field reconstruction on the transducer surface.

#### E. Implementation and Interface for the xDDx Toolbox

The expressions presented in Section II-B were implemented in the xDDx toolbox. The toolbox was developed in MATLAB and includes both a scripting interface and a graphical user interface (GUI) as a wrapper around C++. A comprehensive technical explanation of the interface features is given in the software documentation [30]. This section only highlights the general features of the toolbox.

The core of xDDx is a universal function applicable for FP/backward projection in transient or single-frequency holography mode. The first mode reconstructs a set of harmonic components  $Q_n(\mathbf{r}), n = N_{\min}, \dots, N_{\max}$ , and the second reconstructs a single harmonic component  $Q_{n_0}(\mathbf{r})$  at  $f_0 = n_0 \Delta f$ .

This function operates in six regimes that identify FP/BP with specific pressure/velocity (P/V) inputs and outputs.

- 1) *Regime 1*: BP of the input P at a flat surface to calculate output V at a flat surface.
- 2) *Regime 2*: BP of P (flat) to V at a spherical surface.
- 3) *Regime 3*: FP of V (sphere) to P at an arbitrary set of points.
- 4) *Regime 4*: FP of V (flat) to P (arbitrary).
- 5) *Regime 5*: FP of P (flat) to P (arbitrary).
- 6) *Regime 6*: BP of V (sphere) to P (flat).

xDDx tools are presented as separate MATLAB/Octave scripts that call the core function one or more times to perform simulations and display the results. For example, the automatic hologram alignment (see Section II-C) includes Regime 5 for focal lobe detection and planar scan repositioning and Regime 2 for backprojection at the transducer surface. Other xDDx tools combine Regimes 1–6 to simulate the field radiated by user-defined transducers with the boundary conditions set for pressure or velocity. Even though the existing tools cover many needs for transducer diagnostics and field simulation, users can create their own tools based on the existing scripts and simulation regimes to address specific cases.

#### F. Experimental Arrangement and Setup for Sample Experiments for Toolbox Demonstration

Two focused transducers were used for the demonstration of xDDx toolbox capabilities. Each of these custom-built transducers was constructed using 12 flat piezoelectric elements



(see Fig. 1). The ultrasound fields from these elements were focused to the same point by 3-D-printed plastic lenses [35].

Both transducers were designed for histotripsy and shared the following specifications: nominal aperture of  $D = 87$  mm, nominal central opening aperture of  $O = 40$  mm, and operating frequency of  $f_0 = 1.25$  MHz. The first transducer, termed “Transducer 1,” had a focal distance of  $F = 65$  mm, while the other, termed “Transducer 2,” had a focal distance of  $F = 87$  mm (corresponding to  $F$ -numbers  $= F/D$  of 0.75 and 1). The transducer elements were driven in phase using a custom-built class  $D$  amplifier [24].

Each transducer was submerged in a tank filled with degassed and deionized water. A 3-D positioning system (Velmex Inc., Bloomfield, NY, USA) was employed to position an HGL-0200 capsule hydrophone. The hydrophone signal was sent to an AH-2020 preamplifier set at 20 dB gain (Onda Corporation, Sunnyvale, CA, USA), which was connected to a 14-bit digitizer (Gage Razor 14, DynamicSignals LLC, Lockport, IL, USA). The hydrophone motion and signal acquisition were controlled with a custom LabVIEW program (National Instruments Corporation, Austin, TX, USA). The program coordinated a hydrophone planar scan over a grid of  $177 \times 177$  points with a step size of  $\Delta x_H = \Delta y_H = 0.4$  mm. This step size provides three grid points per wavelength at a frequency  $f_0 = 1.25$  MHz (given an estimated sound speed of 1500 m/s), which is a reliable spacing for transient holography [7], [21]. The hydrophone signal was sampled every  $\Delta t = 12.5$  ns, and the total number of time points per waveform was  $N = 6000$ .

Fig. 4(a) shows an input voltage waveform applied to each element of Transducer 1 for holography measurements. The central frequency of the pulse matched the operating frequency of the transducer, and the excitation burst was two cycles in duration, with a pulse repetition frequency (PRF) of 20 Hz.

The position of the center of the scan ( $z' = 0$  in Figs. 2 and 3) was manually selected to coincide with the center of symmetry of the field in the scan plane, approximately at  $L_H = 45$  mm distance from the apex for Transducer 1 and  $L_H = 55$  mm for Transducer 2. This position falls within 0.5–0.7 of the nominal focal distance, which has been shown to be reasonable for acoustic holography [8]. The position  $L_H$  was calculated based on the time delay  $\tau_{\text{delay}}$  of the signals recorded at the center of the holograms:  $L_H = c_0 \tau_{\text{delay}}$ . It is important to note that due to imperfect alignment, the axis of symmetry of the transducer,  $z$ , was not explicitly aligned with the axes of the 3-D positioner,  $z'$  [see Fig. 2(a)].

The positions and sizes of scan regions were chosen to capture the entire field of the transducers. A useful empirical rule is to ensure that peak pressure levels at the edge of the region are less than 5% of the maximum value in the holography scan. The transducer was excited by repetitive pulses, and waveforms recorded by the hydrophone at each hologram point were averaged during acquisition (16 averages per point, to minimize inherent noise) and saved to a binary file for postprocessing.

An example of a recorded pressure pulse  $p_H(x'_l = 0, y'_m = 0, t_s)$  at the center of the hologram is shown in Fig. 4(b).

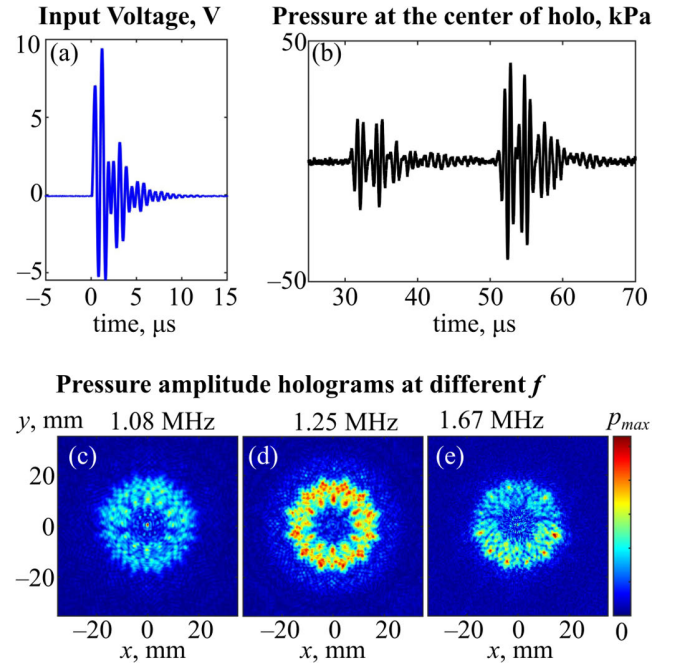


Fig. 4. (a) Voltage signal at one of the elements of the strongly focused transducer used for holography and (b) hydrophone-measured pressure signal at the center of the hologram. (c)–(e) Spectral magnitudes of the pressure in the hologram at different frequencies.

Examples of  $xy$  distributions of the absolute values of single-frequency hologram components  $|H_n|$  are shown in Fig. 4(c)–(e) for three frequencies  $f_n = 1.08, 1.25$ , and 1.67 MHz.

#### G. Toolbox Validation

The holography method implemented in this toolbox inherently involves approximations related to discretization of the field. As shown in [8], errors associated with the radiated field are expected to remain small ( $<1\%$ ) when proper discretization choices are made. To validate the performance of the xDDx toolbox under practical conditions, here we considered the field radiated by an idealized transducer through the following steps.

- 1) A spherically focused transducer vibrating as a uniform piston was defined with the same operating frequency and geometry as Transducer 1 from Section II-F, considering radiation into water (sound speed  $c_0 = 1500$  m/s and density  $\rho_0 = 1000$  kg/m<sup>3</sup>) and a vibrational velocity amplitude  $V_l$  chosen such that the characteristic surface pressure  $\rho_0 c_0 V_l = 1$  Pa.
- 2) The radiated field was calculated with xDDx toolbox in two transverse planes with different orientations to simulate measured holograms. The holograms' orientations and grid steps were chosen to match those used in the real transducer case [see Fig. 3(b)] described in Section II-F. Of the two simulated hologram orientations, one was perfectly aligned and centered relative to the transducer and the other was misaligned, with a 9.1-mm shift and a 2.3° rotation relative to the transducer.
- 3) Backward projections from the holograms were used to reconstruct the vibrations on the transducer surface to

enable comparison to the idealized source. The misaligned hologram was then aligned using the xDDx automatic alignment algorithm.

- 4) The reconstructed sources were used to perform an on-axis projection of the radiated field for comparison against an analytical solution [33].

### III. RESULTS

#### A. Simple Backprojection With No Alignment

This section demonstrates the importance of hologram alignment using Transducer 1 as an example. To illustrate this, BP was performed assuming that the acoustic and mechanical axes were aligned (i.e., coincident). The measured hologram  $H_{n_0}$  was used as input for step 7, described in Section II-C. Fig. 2(b) and (c) shows the BP results: the amplitude  $|V_{n_0}| \equiv |V_0|$  and phase  $\arg(V_0)$  of the vibrational velocity at the spherical surface of the transducer.

The output spatial region ( $x/y/z$  grid) for  $V_0$  was chosen to capture the entire transducer aperture. The output  $201 \times 201$  grid was located at the spherical surface of the transducer:  $V_0(x_r = r \cdot \Delta x_S, y_q = q \cdot \Delta y_S, z_{rq})$ , where  $r, q = -100, \dots, 100$ ,  $\Delta x_S = \Delta y_S = 0.5$  mm,  $z_{rq} = F - (F^2 - x_r^2 - y_q^2)^{1/2}$ , and  $F = 65$  mm was the nominal radius of curvature. To reduce the number of simulation points, the set of the output matrix points  $(x_r, x_q, z_{rq})$  was considered inside the nominal transducer aperture  $D$ . In other words, the condition  $x_r^2 + y_q^2 \leq (0.5D)^2$  was fulfilled. The final number of output points within the aperture circle was 23 757.

The amplitude plot in Fig. 2(b) demonstrates a distinguishable pattern of 12 elements, which is, however, imprecise due to the imperfect alignment of the mechanical and acoustical axes. Specifically, the gaps between the elements have nonzero amplitudes, and the edges of the transducer do not oscillate, indicating that the reconstruction surface is closer to the focus than the actual surface, and thus, the reconstructed field is slightly narrowed due to focusing.

The rotational misalignment between the mechanical and acoustic axes is clearly demonstrated in the phase distribution [see Fig. 2(c)]. The phase at the surface of spherically shaped transducers should be uniform to provide high focusing quality. However, the obtained distribution has a striped structure, clearly indicating the rotation of the reconstruction surface [see Fig. 2(a), dotted arc]. Therefore, the obtained mismatched phase distribution does not provide information on phase uniformity at the transducer surface.

#### B. Automatic Alignment for Single Frequency

The same Transducer 1 case was used to demonstrate the performance of the automatic alignment script in the single-frequency case. The results at different stages of the alignment process are summarized in Fig. 5. In the following, we describe this process, referring to the automatic alignment algorithm steps (see Section II-C).

Fig. 5(a) presents the result of the first coarse-grid simulation of the focal lobe (step 2) at the default iso-level  $p_{\text{level}} = 0.6$ . The plot in the mechanical coordinates  $(x', y', z')$  shows

slight rotation of the mechanical axes relative to the acoustic axes.

The fine-grid 3-D simulation (step 3) refines the shape of the focal region [see Fig. 5(b)] and provides the position of the center of curvature  $x'_{\text{max}} = -1.5$  mm,  $y'_{\text{max}} = 2.7$  mm, and  $z'_{\text{max}} = 18.6$  mm (step 4 in Section II-C). The solid line passing through the circular markers [see Fig. 5(b)] provides the ordinary least-squares-estimated direction of the acoustic axis of the transducer (direction vector  $\mathbf{e}_z$ ) and reveals a rotation angle between the acoustic and mechanical axes of  $2.3^\circ$ . Note that rotations of approximately  $1^\circ$ , along with the displacements of approximately 1 mm ( $x'_{\text{max}} = -1.5$  mm and  $y'_{\text{max}} = 2.7$  mm), are typical for manual assembly of an experimental setup.

Fig. 5(c) shows the backprojection result obtained at steps 7 and 8 of the algorithm described in Section II-C. The GUI window presents the amplitude and phase distributions in a manner similar to the one described in Section III-A. The edit field labeled “Radius of Curvature in mm” contains the nominal value  $F = 65$  mm. This value can be changed, and the BP can be repeated using the button “Backproject and Replot.” Two other buttons can be used to save the backprojected vibrational velocity distribution and the alignment parameters.

The amplitude distribution obtained for the nominal radius of curvature is close to that of the simple backprojection case (see Section III-A), and however, the phase distribution is noticeably different.

After alignment, the phase distribution is mostly uniform, with the exception of minor local defects caused by the 3-D-printed lens manufacturing. As discussed above, the nominal radius of curvature was shorter than the actual one. Using the GUI, it was adjusted several times to find the effective value  $F_{\text{eff}}$ . The process of determining this value is analogous to bringing an optical image into focus; the appropriate value of  $F$  will result in matching the reconstruction and the real transducer surfaces and will provide the sharpest distribution (“image”). In the considered case, this sharpening process resulted in the value  $F_{\text{eff}} = 71$  mm [see Fig. 5(d)]. The distribution of the vibrational velocity amplitude was significantly improved; the contours of the elements and the gaps between them became sharper. The phase distribution remained almost identical to the case of nominal  $F$  [see Fig. 5(d)] because the shape of the wavefront generated by the array remained spherical. To avoid constant phase shifts between the focus-sharpening cases, which can affect the relative analysis of the phase distributions, the phases in Fig. 5(c) and (d) are set to zero at a specific point of the transducer aperture  $x = y = -25$  mm and the phases are wrapped to  $2\pi$ . Other coordinates of zero phase can be selected in the script if needed.

An important detail of the BP results above is that even though the array elements oscillate as uniform pistons, the obtained amplitude distribution [see Fig. 5(d)] is not uniform due to Lamb waves that propagate along the surface of the elements and manifest themselves in CW regimes (including the single-frequency regime considered here) by affecting locally the vibrational velocity of the plates [36], [37].

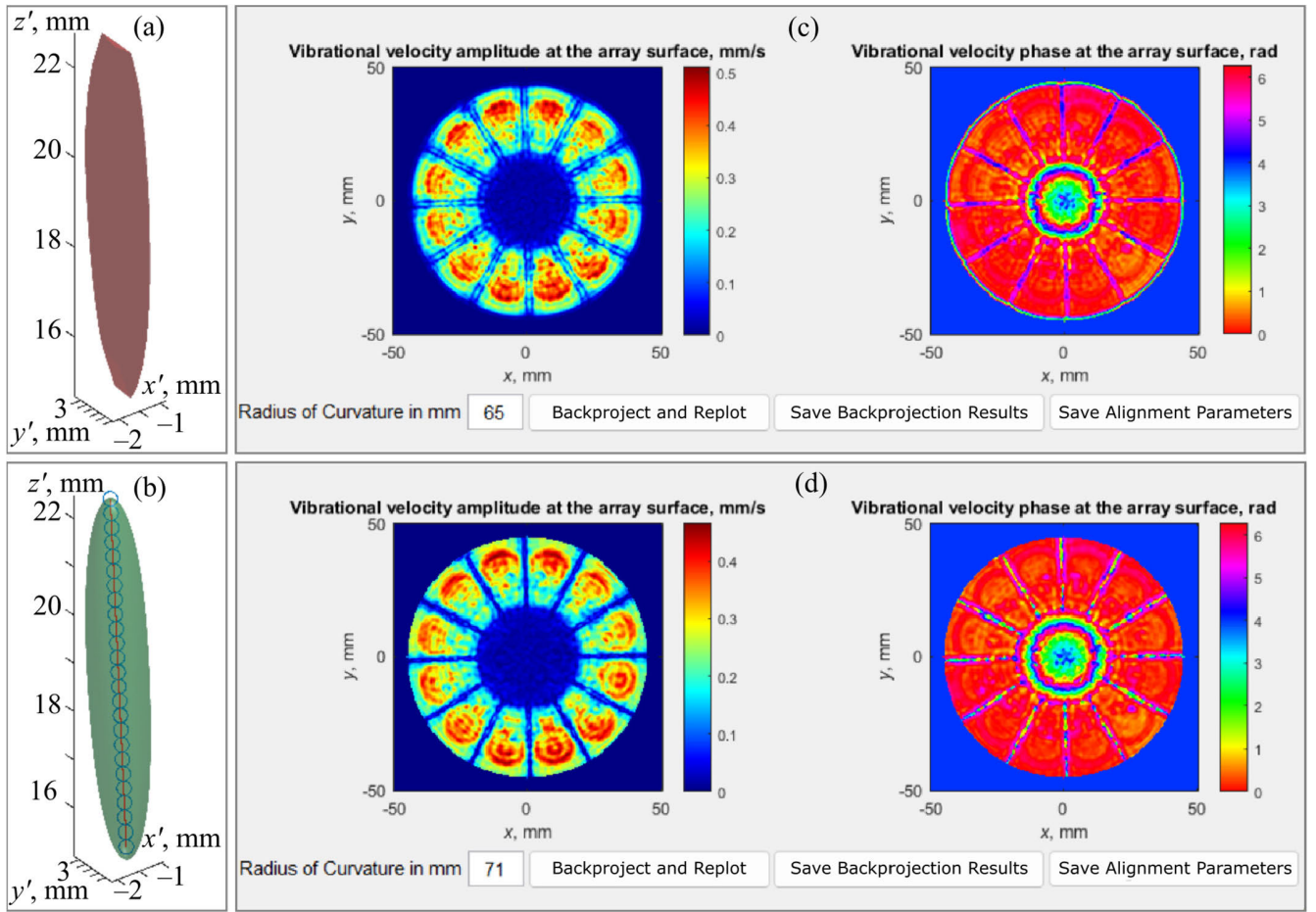


Fig. 5. Results of the automatic alignment for Transducer 1. (a) Coarse-grid and (b) fine-grid simulations of the focal lobe shape. GUI windows with the backprojection results after alignment for (c) nominal radius of curvature of  $F = 65$  mm and (d) determined effective radius of curvature of  $F = 71$  mm.

### C. Automatic Alignment for a Transient Hologram Case

Fig. 6 shows a compilation of BP with automatic alignment in the transient case. The BP results were obtained at the vertices of the same spatial simulation grid ( $x_r, y_q, z_{rq}$ ) as for the single-frequency cases in the two previous sections. Two representations of the results are shown, one in the frequency domain and one in the time domain.

The top row of Fig. 6 shows the frequency-domain results as a set of normal velocity complex amplitude distributions  $V_n(x_r, y_q, z_{rq})$ ,  $n = N_{\min}, \dots, N_{\max}$ . To fulfill the 1% requirement (see Section II-B) in the considered case given the frequency step  $\Delta f = (N \cdot \Delta t)^{-1} = 13$  kHz, the maximum number of frequencies was set to  $N_{\max} = 200$ . The minimum frequency sample was set to  $N_{\min} = 1$ , which fulfilled the 1% requirement and excluded the constant zero-frequency component.

Three frequency samples from this set are shown in Fig. 6(a)–(c). The low-frequency mode of 0.88 MHz [see Fig. 6(a)] results in a noisy and nonuniform vibrational velocity amplitude pattern, as the thickness of the piezoelectric elements does not support this frequency. However, the frequency of 1.2 MHz [see Fig. 6(b)] demonstrates better performance compared to the nominal frequency of  $f_0 = 1.25$  MHz [see Fig. 6(c)]. The amplitude distribution for

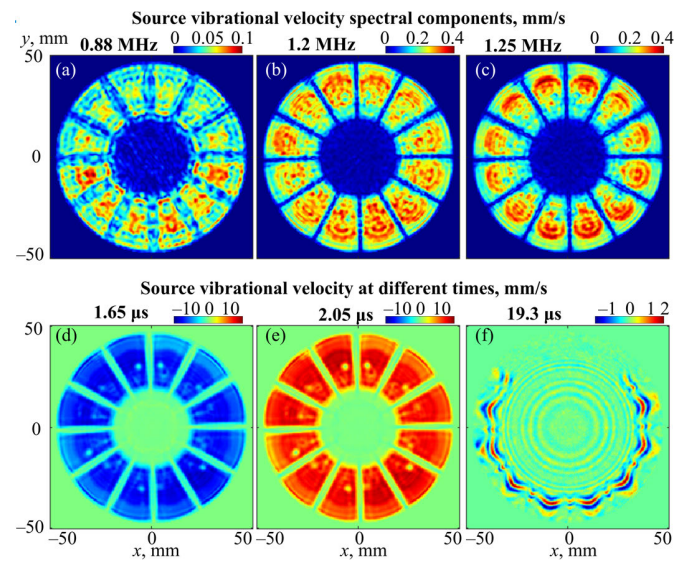


Fig. 6. Backprojection results after alignment in the transient case are shown in (a)–(c) frequency domain for different transducer frequencies and (d)–(f) time domain for different time moments. The example is shown for Transducer 1.

1.2 MHz is more uniform than that for 1.25 MHz, which allows more effective usage of the active surface of the array in CW therapeutic regimes.



The bottom row of Fig. 6 presents the time-domain results  $v(\mathbf{r}, t_s)$  at three different time points. For convenience, the zero time here corresponds to the beginning of the signal when the surface amplitude first surpassed 10% of the maximum. The significant advantage of time-domain images for short transient pulses is that the Lamb waves do not manifest themselves as they do in CW regimes, allowing for visualization of small-scale surface defects and geometrical details of the radiating surface. Fig. 6(d) and (e) shows the 2-D velocity distributions at the surface for  $t_s = 1.65$  and  $2.05 \mu\text{s}$ , respectively. These time samples correspond to reaching the minimum and maximum vibrational velocity at the reconstructed surface through the entire signal duration. The small-scale details of the transducer can be seen here, such as wiring solder points at each element and a slight velocity decrease at the edges of some elements. The last time point shown in Fig. 6(f) demonstrates a surface wave that was generated on the lens of the transducer significantly later ( $t_s = 19.3 \mu\text{s}$ ) than the main piston pulse [see Fig. 6(d) and (e)]. Even though the peak velocity of the surface wave is more than five times lower compared to that of the piston pulse, it reveals an important defect of the transducer lens; the circular oscillation pattern in Fig. 6(f) is not closed, which could indicate incomplete lens attachment. The frequency- and time-domain results are available in the supplementary materials as movies where each frequency/time sample corresponds to a specific frame (see Supplement Videos 1 and 2). This allows for a closer examination of the radiating surface details.

#### D. Manual Alignment for an Asymmetric Beam

The Transducer 2 case, which was a representative example of semi-manual defect detection, is considered here.

Steps 2 and 3 of the automatic alignment algorithm (see Section II-C) resulted in a deformed and split focal lobe shape, which is illustrated in Fig. 7(a) by two iso-surfaces at iso-levels of  $p_{\text{level}} = 0.8$  and  $0.5$ . The automatic fitting line could not be plotted in this case due to the asymmetry of the focal lobe. Therefore, in this case, the line was plotted manually to estimate the expected direction  $\mathbf{e}_z$  of the acoustic axis [see Fig. 7(a), dashed arrow] and the position of the center of curvature  $\mathbf{r}_{\text{max}}$  [see Fig. 7(a), dot marker]. After the BP, the distributions of the vibrational velocity amplitude and phase [see Fig. 7(b) and (c), respectively] at the central frequency of  $f_0 = 1.25\text{MHz}$  were obtained. The amplitude pattern shows no significant difference between the array elements, demonstrating that all elements are active. However, the phase distribution clearly shows that four elements have an inverted phase  $\pi$  relative to the other elements, which means that the polarity of the driving wires for positive and negative electrodes for those elements had been inverted during the transducer assembly. This issue could be solved by applying half-cycle phase delays of  $-\pi$  to these three elements, which would bring the array performance back to normal.

#### E. Simulating the 3-D Transducer Field

Fig. 7(d) demonstrates another capability of the toolbox: simulation of the 3-D field of a spherically shaped

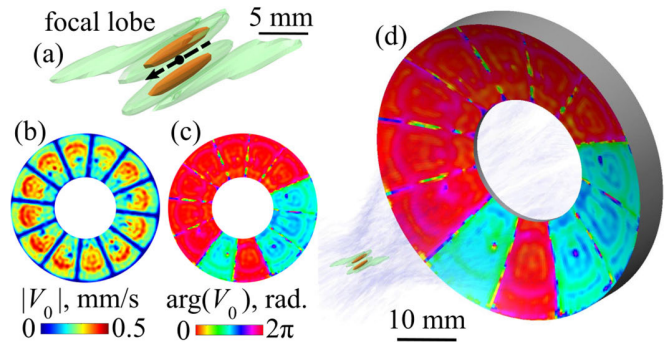


Fig. 7. Semi-manual alignment for an asymmetric beam radiated by a transducer with an aperture and focal distance of 87 mm (Transducer 2), operating at a frequency of  $f_0 = 1.25 \text{ MHz}$ . (a) Pressure amplitude iso-surface levels at 80% and 50% relative to the maximum for the 3-D field projected from the measured hologram. The dashed line indicates the manually selected axis of symmetry. (b) and (c) Amplitude and phase distributions of the vibrational velocity  $V_0$  at the surface of the transducer obtained after alignment, respectively. (d) Iso-surfaces for the entire transducer field simulated using the backprojection results as a boundary condition.

transducer (Regime 3, Section II-E). The backprojected amplitude  $V_0(x_r, y_q, z_{rq})$  and phase [Fig. 7(b) and (c)] at the spherical surface of the source were used as a boundary condition to calculate the 3-D field in a wide spatial region ( $-45 \text{ mm} < x < 45 \text{ mm}$ ,  $-45 \text{ mm} < y < 45 \text{ mm}$ , and  $20 \text{ mm} < z < 110 \text{ mm}$ ), with a spatial step of  $0.4 \text{ mm}$  in all directions (an output grid size was  $226 \times 226 \times 226$ ). The results are presented as iso-surfaces corresponding to three iso-levels  $p_{\text{level}} = 0.8, 0.5$ , and  $0.05$ . This kind of fine-grid simulation in wide spatial regions helps examine the levels of grating or sidelobes outside the target region, which can be important for safety. Once this simulation is done for a transducer, it provides comprehensive information about its entire pressure field in 3-D at a frequency of interest.

#### F. Simulation Speed

This section reports simulation speed values for different hardware configurations. Three types of CPU + GPU combinations were considered and sorted into three types based on the release year of the components.

- 1) *Type 1*: CPU—AMD Ryzen 9 7950X3D, 16 cores at 4.2 GHz, released in 2023; GPU—NVIDIA GeForce RTX 4090, released in 2022.
- 2) *Type 2*: CPU—AMD Ryzen 7 5800X, 8 cores at 3.8 GHz (2020); GPU—NVIDIA GeForce RTX 3070 (2020).
- 3) *Type 3*: CPU—Intel Core i7-6700K (Skylake), 4 cores at 4 GHz (2015); GPU—NVIDIA GeForce GTX 1650 (2019).

All configurations presented here are PCs assembled from common components and are not intended for use as workstations for specific resource-intensive applications.

Table I summarizes simulation performance in four simulation cases, described in Section III. The results obtained with GPUs and CPUs are separated into different sections of the table. In all cases, the performance of GPUs was noticeably superior to that of CPUs, even when comparing

TABLE I  
SIMULATION TIME FOR DIFFERENT DEVICES AND REGIMES

	SBP	ARBS	ARBT	3DE
Graphical cards (GPUs)				
RTX 4090	0.21 s	0.89 s	51 s	14 s
RTX 3070	0.42 s	1.2 s	3.4 min	50 s
GTX 1650	1 s	3.8 s	9.8 min	2.9 min
Central processors (CPUs)				
AMD 7950X3D	1.6 s	14 s	11 min	8.7 min
AMD 5800X	3.2 s	33 s	24 min	20 min
Intel 6700K	8.3 s	1.3 min	57 min	46 min

SBP = Simple Back-Projection, 31329 input points, 23757 output points (Section III-A)

ARBS = Automatic Rotation and Back-Projection for Single Frequency, 31329 input points, 23757 output points (Section III-B)

ARBT = Automatic Rotation and Back-Projection for Transient, 31329 input points, 23757 output points, 200 frequency samples (Section III-C)

3DE = 3-D simulation of entire transducer field, 23757 input points, 11543176 output points (Section III-E)

the Type 3 GPU (GTX 1650, released in 2019) with the Type 1 CPU (AMD Ryzen 9, released in 2022). Single-frequency backprojection and automatic alignment simulations (SBP and ARBS in Table I) can be performed in near real time with all presented GPUs; the simulation time does not exceed 4 s. Transient alignment and 3-D simulation of the array field (ARBT and 3DE in Table I) are significantly more time-consuming, but their simulation time still falls within reasonable ranges of 14 s–10 min for GPUs and 9–57 min for CPUs.

### G. Toolbox Validation

Fig. 8(a) shows the backprojection results of the “perfectly aligned” hologram to reconstruct the vibrational velocity of the idealized source. While the edges of the reconstructed transducer are sharp and the vibrating surface converges to the expected velocity, there is some nonuniformity. This nonuniformity arises from the incorrect reconstruction of evanescent waves. Such behavior is expected from a backprojection of the field based on the Rayleigh integral, which treats the evanescent waves as decaying and, as a consequence, suppresses them [8].

To assess the performance of the automatic alignment algorithm in xDDx, Fig. 8(b) shows the difference in reconstructed surface velocities based on the “perfectly aligned” and misaligned holograms (after alignment using the xDDx toolbox). This reconstruction error  $E$  is expressed as a percentage relative to the characteristic surface pressure of the idealized transducer,  $\rho_0 c_0 V_I = 1$  Pa.

The results demonstrate that the surface distributions are not perfectly aligned at the edges of the transducer, where the error reaches values up to 12%. The difference between the two reconstructed velocity distributions is more evident in Fig. 8(c) and (d), which shows the 1-D velocity distributions and the corresponding error  $E$  along the  $x$ -direction for  $y = 0$  [see Fig. 8(a), dashed line]. These figures indicate that the largest errors occur near the edges of the transducer (within approximately 2.5 mm), while in the central region, the error  $E$  was less than 3%. This error is attributed to two factors.

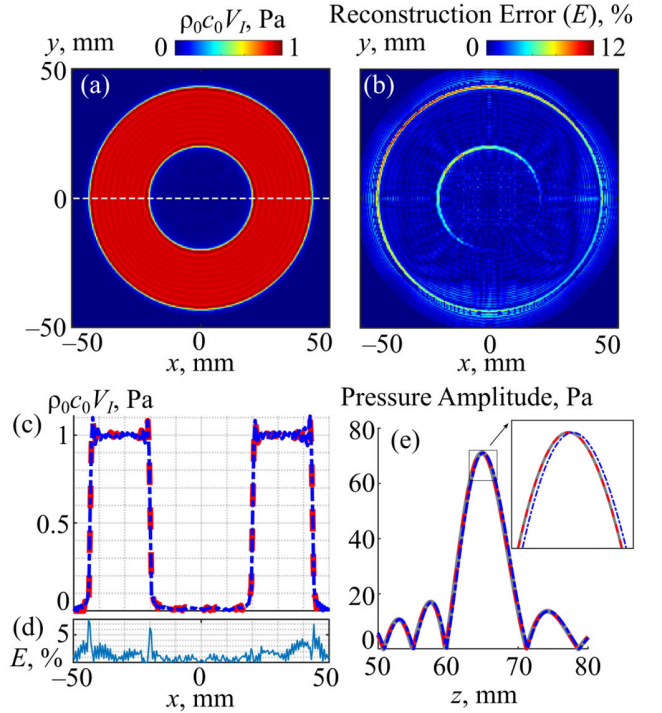


Fig. 8. (a) Vibrational velocity  $V_I$  backprojected to the surface of the idealized transducer from the perfectly aligned hologram. (b) Difference between the surface vibrational velocity reconstructed from the perfectly aligned hologram and that reconstructed from originally misaligned and then automatically aligned hologram. (c) Reconstructed 1-D velocity distributions and (d) their percent difference  $E$  for the perfectly aligned (dashed line) and automatically aligned (dashed-dotted line) holograms. (e) Axial pressure amplitude distribution obtained by FP of the perfectly aligned (dashed line) and automatically aligned (dashed-dotted line) holograms compared to the analytical solution for the idealized transducer (solid line).

- 1) The focal lobe shape simulated during automatic alignment does not provide the exact direction for the acoustic axis due to the finite precision of the 3-D simulation grid.
- 2) The assumption inherent in the automatic alignment is that the center of curvature coincides with the pressure maximum of the focused field. While this assumption is reasonable for the strongly focused transducer considered here, it is still an approximation. This limitation is discussed in detail in Section IV.

Fig. 8(e) illustrates the axial pressure amplitude obtained via FPs of the reconstructed vibrational velocity distributions for both the perfectly aligned (dashed line) and automatically aligned (dashed-dotted line) holograms. These are compared to the analytical solution for the idealized piston transducer (solid line). The difference between the analytical solution and calculations based on the aligned hologram is negligible, with the maximum amplitude difference being less than 0.02%. Even though evanescent waves affect small details in the velocity distribution of the reconstructed source, these details are not important in the region of interest because evanescent waves decay almost completely within a few millimeters from the transducer surface. The result for the automatically aligned case shows a 0.2-mm shift in the distribution, caused by the

automatic alignment assumption that the center of curvature coincides with the pressure maximum. Nonetheless, the error in the maximum pressure amplitude for this case relative to the analytical solution is negligible at 0.01%.

#### IV. DISCUSSION AND CONCLUSION

The xDDx toolbox presented in this article is designed to facilitate the use of planar scan hydrophone measurements to characterize the 3-D fields radiated by ultrasound transducers in water and to provide diagnostic information about the vibrations at the transducer surface. These capabilities fundamentally rely on accelerated numerical computations of the Rayleigh integral to reconstruct the full 3-D field from holograms defined on flat or spherically curved surfaces. While the toolbox is designed primarily to process measurement data from physical transducers, it is also capable of simulating the fields radiated by transducer designs defined by the user. For instance, Section III-E uses a realistic holography-based boundary condition  $V_0(x_r, y_q, z_{rq})$  at the surface of the transducer to simulate its entire 3-D field. However, an arbitrary distribution  $V_0$  can be set as a boundary condition, allowing numerical experiments for various transducer designs with complex vibrational velocity patterns at the surface, such as multielement arrays.

Key toolbox features include forward and backward field projections (for both CW and transient fields) and alignment of measured holograms with the physical transducer. In the case of a strongly focused transducer, the alignment is handled automatically based on the assumption that the center of curvature coincides with the pressure maximum of the focused field. The validity of this assumption mainly relies on the focusing angle ( $F$ -number) of the transducer for strongly focused transducers used in HIFU applications [16]. For instance,  $F$ -numbers of 0.75 and 1 considered in this study lead to shifts of 0.2 and 0.4 mm between the pressure maximum and the radius of curvature. This misalignment might result in minor degradation of the sharpness of the backprojected distribution and can be accounted for in the MATLAB/Octave code if desired. Notably, a different alignment approach that is not restricted to strongly focused transducers is also possible. From backprojections of transient holograms, the time-domain signal can be reconstructed over a volume that is expected to include the transducer surface. The actual surface can then be determined as the set of points where the nonzero vibration amplitudes begin at time zero. Such an approach will be studied in future work.

It is important to outline other limitations of the toolbox. The toolbox was designed for the far-field holography method, which does not capture near-field evanescent waves. Consequently, the reconstructed velocity pattern at transducer surfaces may exhibit small-scale heterogeneities in the velocity distribution. Nevertheless, far-field projections are accurate, as demonstrated in Section III-G.

The focus-sharpening feature, applied after hologram alignment, is based on visual inspection of the backprojected distribution and is not tied to any quantitative metrics. While this approach is generally sufficient for visualizing transducer

surface defects, users may prefer to save backprojected distributions for various radii of curvature and employ alternative sharpness metrics to formalize their analysis.

The toolbox does not account for attenuation in water, which could introduce errors at high frequencies. For instance, given the attenuation coefficient of  $0.025 \text{ Np/m/MHz}^2$  in water at  $20^\circ\text{C}$ , pressure losses over a 1-cm distance for a 1-MHz acoustic wave are negligible, at less than 0.03% [38]. However, the losses increase to 2.5% at 10 MHz and 9.5% at 20 MHz, which may be significant for certain applications. We plan to consider adding a feature to account for attenuation in future releases based on user feedback and requests.

It is also important to note that for high frequencies, such as 10–20 MHz, another challenge arises with the scanning procedure. As mentioned earlier, a reasonable spatial grid step for transient holograms is approximately one-third of the wavelength of the central frequency. For a 1-MHz wave, with a sound speed of 1500 m/s, this step size is 0.5 mm, which is suitable for most positioning systems and hydrophones. However, for 10 and 20 MHz, the required step sizes reduce to 0.05 and 0.025 mm, respectively. At these scales, it is crucial to ensure that the hydrophone's active area is sufficiently small to accommodate these grid cell sizes and that the positioning system is precise enough to maintain a stable grid step at this resolution.

Possible applications of the toolbox related to transducer diagnostics include selection of optimal operating frequencies. If transient holography measurements are acquired and aligned backprojection to the transducer surface is performed, then the pattern of transducer vibrations can be visualized over the range of frequencies present in the measured hologram. In the case considered here, the backprojected vibrational velocity distribution at the nominal frequency of  $f_0 = 1.25 \text{ MHz}$  [see Fig. 6(c)] was less uniform than a slightly lower frequency of 1.2 MHz [see Fig. 6(b)]. Therefore, an optimized transducer manufacturing procedure could involve performing holography-based analysis before designing the electrical impedance matching.

Another diagnostic application involves the utilization of time-domain backprojection results to help identify various manufacturing defects. This approach can show small-scale defects like the wavefront asymmetry of the surface wave in the lens [see Fig. 6(f)]. Such defects do not affect the piston vibrations of the source surface [see Fig. 6(d) and (e)] or the symmetry of the focal lobe [see Fig. 5(b)] but could influence the robustness and longevity of the transducer. For example, the lens of Transducer 1 with the observed surface-wave defect delaminated at the site of the defect after several histotripsy experiments.

Beyond the simulation regimes used in the demonstration examples presented here, others are available in the xDDx toolbox and may be helpful for certain applications. For instance, backprojection of a boundary condition from a spherical to a flat surface can be used to set a boundary condition for spherically shaped transducers on a plane. It can be one of the possible simple methods for setting flat boundary conditions for spherically shaped transducers in other simulation software such as k-Wave (<http://k-wave.org/>),



FOCUS (<https://www.egr.msu.edu/~fultras-web/>), and mSOUND (<https://m-sound.github.io/mSOUND>) [39], [40], [41].

Examples of implementing this type of boundary condition are presented in our prior studies [42], [43], [44], [45].

The xDDx toolbox presented here has multiple capabilities related to transducer characterization and diagnostics. The software was optimized to be compatible with different types of computer hardware; while the authors recommend using GPUs for simulations due to significant speed advantages (see Table I), and CPU-based configurations are also viable. Typical simulation times do not exceed 1 h even for a ten-year-old Intel Core i7-6700K, Skylake CPU (see Section III-F).

Importantly, this article does not cover all capabilities of the software. For example, this article focuses on automatic and semi-manual alignment for focused transducers. However, the “Semi-Manual Alignment” tool (see Section III-D) is also applicable to unfocused transducers, including plane-wave and divergence-wave sources. This tool allows users to manually identify symmetrical patterns in a forward-projected 3-D field and determine the alignment parameters for subsequent hologram alignment.

Another “Pre-Defined Alignment” tool is useful for holograms of multielement arrays that generate nonsymmetrical field patterns. For these arrays, two holograms with the same transducer position in the tank can be measured: one with the element phases specifically set to form a tight focal lobe and the other with a phase distribution of interest. Automatic alignment can then be performed for the first, focused case, and the alignment parameters can be saved using xDDx tools. These saved predefined alignment parameters can subsequently be applied to align the second hologram with an asymmetric beam.

Finally, the toolbox provides a “Validation Tool” that automatically creates a model of an idealized flat or spherical piston transducer with a specified grid discretization step, performs numerical xDDx field simulations for this transducer and compares the results to the analytical solution. This tool can be used to test different transducer models with varying sizes, frequencies, and grid discretization steps to evaluate simulation precision for specific cases of interest.

Users can refer to the user manual for more examples and technical details [30].

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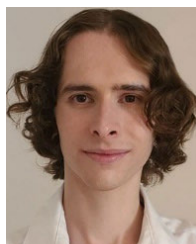
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